



H-19

Secta \$ #3

PATENTS
Atty. Docket No. 1441830001336

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Jeffrey Tze Fei WONG et al.

Serial No.: 09/827,255

Filed: April 5, 2001

For: COMPOSITIONS AND METHODS
FOR THE TARGETED DELIVERY OF
AGENTS TO TREAT LIVER CANCER

Group Art Unit: 1636

Examiner: To be assigned

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER And
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

In response to the Notification of Missing Requirements under 35 U.S.C. 371, mailed June 4, 2001, enclosed please find the following:

1. Return copy of the Notification of Missing Requirements under 35 U.S.C. 371, mailed June 4, 2001; and
2. Check in the amount of \$1110.00 to cover the filing and surcharge fee.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Mail Service as First Class Mail on the date shown below with sufficient postage in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: July 10, 2001

Signature:


Karen M. Cruz

576850v1

Although it is believed no additional fee is due for this filing, in the event that the Examiner determines an additional fee is due, the Commissioner is authorized to charge any deficiency, or credit any overpayment, to our deposit account, No. 02-0410 in the name of Baker & McKenzie. A duplicate copy of this letter is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Mitchell F. Brook", is written over a horizontal line.

Mitchell F. Brook

Attorney for Applicant

Reg. No. 32,967

c/o BAKER & McKENZIE
101 West Broadway, 12th Floor
San Diego, California 92101
(619) 236-1441



#3

Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,255	04/05/2001	Jeffrey Tze Fei Wong	144183001336

022836
BAKER & MCKENZIE
660 HANSEN WAY
PALO ALTO, CA 94304

CONFIRMATION NO. 4343

FORMALITIES LETTER



OC000000006140922

Date Mailed: 06/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - \$270 for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1110.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/16/2001 CCHAU1 00000008 09827255

01 FC:101	710.00	OP
02 FC:104	270.00	OP
03 FC:105	130.00	OP



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PART 3 - OFFICE COPY



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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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Bib Data Sheet

CONFIRMATION NO. 4343

SERIAL NUMBER 09/827,255	FILING DATE 04/05/2001 RULE	CLASS 435	GROUP ART UNIT 1636	ATTORNEY DOCKET NO. 1441830001336	
APPLICANTS Jeffrey Tze Fei Wong, Mid-levels, HONG KONG; Shui Ying Tsang, Sunshine City, HONG KONG;					
** CONTINUING DATA *****					
** FOREIGN APPLICATIONS *****					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/01/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <input type="checkbox"/> Allowance Verified and <i>M. Schmidt</i> Acknowledged Examiner's Signature Initials		STATE OR COUNTRY HONG KONG	SHEETS DRAWING 7	TOTAL CLAIMS 8	INDEPENDENT CLAIMS 2
ADDRESS 022836					
TITLE Compositions and methods for the targeted delivery of agents to treat liver cancer					
FILING FEE RECEIVED 1110	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		